

Contents

Foreword	ix
Preface.....	xi
The Authors	xix
Introduction	xxi

Chapter 1 General requirements for an energy management system 1

1.1 Qualifications	1
1.2 Requirements for organizations	2
1.3 EnMS requirements.....	3

Chapter 2 Management responsibility..... 5

2.1 General requirements	5
2.2 Establish, implement, and maintain the energy policy	7
2.3 Management representative and energy management team.....	8
2.4 Provide energy management system resources.....	9
2.5 Defining the energy management system scope and boundaries	10
2.6 Communicating the importance of energy management	12
2.7 Establishing energy performance objectives and targets.....	13
2.8 Energy performance indicators	15
2.9 Including energy considerations in long-term planning.....	16
2.10 Ensure that results are measured and reported	17
2.11 Conduct management reviews	18
2.12 Roles, responsibility, and authority	19
2.13 Establish, implement, maintain, and continually improve the energy management system	20
2.14 Report to top management on the performance of the energy management system.....	21
2.15 Report to top management on changes in energy performance	22
2.16 Forming the energy management team.....	23

2.17	Plan and direct energy management activities designed to support the organization's energy policy	24
2.18	Defining and communicating responsibilities and authorities	25
2.19	Determining criteria and methods for effective operation and control of the energy management system	26
Chapter 3 Energy policy		29
3.1	Commitment to improvement	29
3.2	Criteria	30
Chapter 4 Energy planning		37
4.1	General	37
4.2	Legal and other requirements	40
4.3	Energy review	42
4.4	Energy baseline	48
4.5	Energy performance indicators	51
4.6	Objectives, targets, and action plans	53
Chapter 5 Implementation and operation		59
5.1	General	59
5.2	Competence, training, and awareness	60
5.3	Awareness of the energy policy, the energy management system, and procedures	61
5.4	Roles, responsibilities, and authorities	62
5.5	Benefits of improved energy performance	63
5.6	Individual impacts and contributions to improved energy performance	64
5.7	Documentation requirements	65
5.8	Documenting scope and boundaries	66
5.9	Documenting the energy policy	67
5.10	Documenting energy objectives, targets, and action plans	68
5.11	Maintaining documents considered by the organization to be necessary for ensuring planning, operation, and control	68
5.12	Control of documents	69
5.13	Approving documents prior to issue	72
5.14	Periodic review and update	72
5.15	Identifying changes and current revision status	73
5.16	Ensuring that relevant versions of applicable documents are available at points of use	74
5.17	Ensuring documents are legible and readily identifiable	75
5.18	Controlling external documents	76
5.19	Preventing the unintended use of obsolete documents	77
5.20	Operational control	78

Contents

5.21 Effectively operating and maintaining significant energy use 81

5.22 Operating and maintaining facilities, processes, systems, and
equipment..... 82

5.23 Communicating operational controls to personnel..... 82

5.24 Communications..... 83

5.25 Designing facilities, equipment, systems, and processes 90

5.26 Procurement of energy services, products, and equipment..... 92

5.27 Assessing products’ energy use over time 93

5.28 Consider contingency and emergency situations and
potential disasters..... 94

5.29 Procurement of energy supply 95

Chapter 6 Checking performance 97

6.1 Monitoring, measurement, and analysis..... 97

6.2 Evaluation of legal and other compliance..... 99

6.3 Internal audit of the EnMS..... 100

6.4 Nonconformities, correction, corrective, and preventive
action 104

6.5 Reviewing and determining the causes of nonconformities
and potential nonconformities 107

6.6 Evaluating the need for action 108

6.7 Implementing corrective and preventive actions111

6.8 Maintaining records of corrective and preventive actions..... 112

6.9 Reviewing the effectiveness of the corrective or preventive
action taken113

6.10 Control of records.....114

Endnote.....116

Chapter 7 Management review..... 117

7.1 Summary of requirements117

7.2 Input to management review.....118

7.3 Actions from previous management reviews119

7.4 Energy policy 120

7.5 Energy performance and energy performance indicators 120

7.6 Legal compliance and requirement changes..... 120

7.7 Energy objectives and targets..... 120

7.8 Energy management system audit results..... 121

7.9 Corrective and preventive actions 121

7.10 Projected energy performance..... 122

7.11 Recommendations for improvement 122

7.12 Output from management review 123

7.13 Changes in the energy performance of the organization..... 123

7.14 Changes to the energy policy 124

7.15	Changes to the EnPIS.....	124
7.16	Continual improvement of the energy management system and its implementation	124
7.17	Allocation of resources	125
	Bibliography.....	127

Appendix A: Energy consumption, generation, sustainability, and energy systems		129
A.1	Energy consumption	129
A.2	Energy production.....	134
A.3	Sustainability.....	138
A.4	A survey of energy sources.....	139
	Endnotes.....	153

Appendix B: Perspectives on energy efficiency and conservation		155
B.1	Energy conservation.....	156
B.2	Energy use and efficiency around the world	158
B.3	Energy conservation/energy efficiency issues.....	160
	Endnotes.....	161

Appendix C: Peak oil: The looming oil crisis		163
C.1	King Hubbert's prediction.....	164
C.2	Growing oil consumption and lower exports by producers	168
C.3	Peak oil policy implications	169
C.4	Concluding thoughts.....	176
	Endnotes.....	177

Appendix D: Sustainability and energy policy		179
D.1	Definitions of sustainability.....	179
D.2	Sustainability impact	182
D.3	Agenda 21	184
D.4	Common principles of sustainability	184
D.5	Measuring sustainable development.....	189
D.6	Applications of sustainable resource development.....	193
D.7	Examples of a sustainable energy policy	196
	Endnotes.....	203

Appendix E: Global climate change.....		207
E.1	The nature of the problem.....	207
E.2	Global warming causal factors and research	211
E.3	Current and future impacts of global warming.....	219
E.4	Reducing greenhouse emissions.....	223
E.5	Global climate policy	228
	Endnotes.....	238

Contents

Appendix F: Selected key ISO 50001 definitions	243
Appendix G: The energy assessment	245
G.1 The assessment process	247
G.2 Performing the energy assessment	250
Endnotes	262
Appendix H: Methods, tools, and techniques	265
Appendix I: Applying the nominal group technique to maximize return on investment	269
I.1 Typical NGT procedure	270
I.2 Advantages and disadvantages	271
Endnotes	271
Appendix J: The Fukushima Daiichi nuclear power plant disaster	273
Index	281