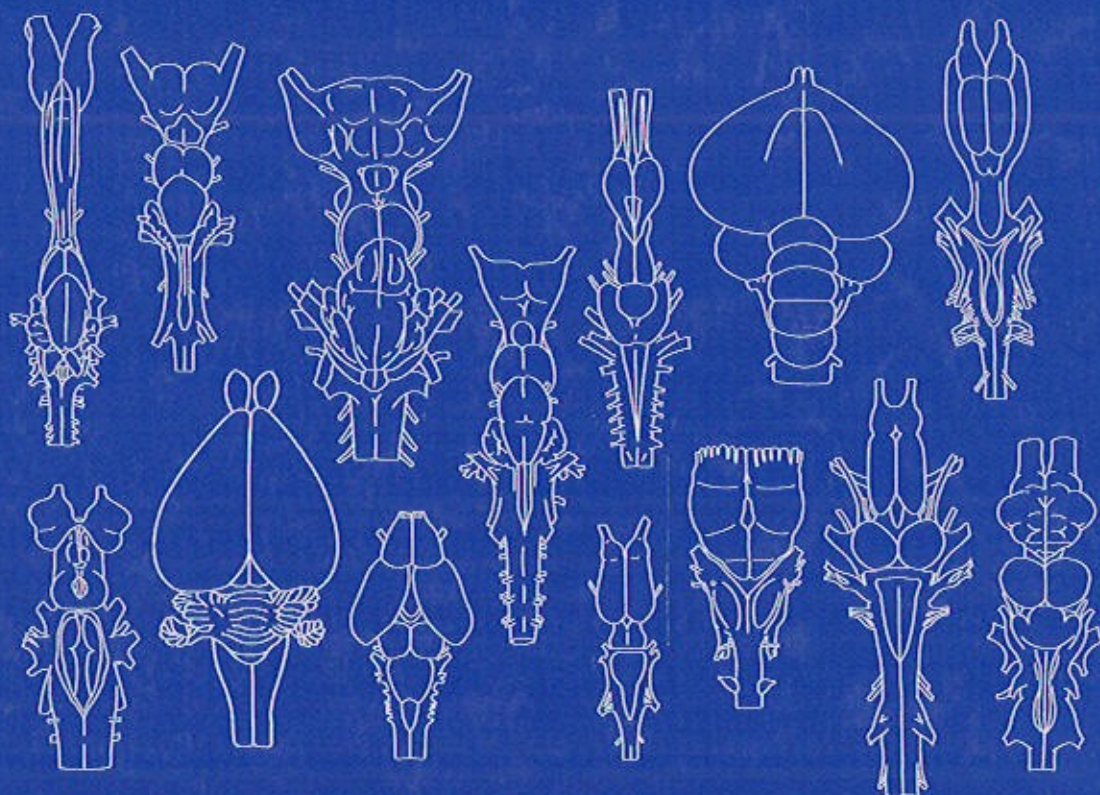


 WILEY



S E C O N D E D I T I O N

**COMPARATIVE
VERTEBRATE
NEUROANATOMY**

Evolution and Adaptation

ANN B. BUTLER • WILLIAM HODOS

Contents

Preface	xv	Dendrites, 21
Acknowledgments	xix	Axons, 23
List of Boxes	xxi	Synapses, 23
		Chemical Synapses, 23
		Neuroactive Substances, 24
		Electrical Synapses, 26
		Volume Transmission, 26
		Neuronal Populations, 26
		Golgi Type I and II Cells, 26
		Nuclei and Planes of Section, 27
		Techniques for Tracing Connections Between Nuclei, 27
		Receptors and Senses, 28
		How Many Senses? 29
		Receptors and Awareness, 29
		Sensory Experience as a Private Mental Event, 30
		Sensory Adaptation, 30
		Receptor Types, 30
		Mechanoreceptors, 31
		Radiant-Energy Receptors, 34
		Chemoreceptors, 37
		Nervus Terminalis: An Unclassified Receptor, 41
		Electroreceptors, 41
		Nociceptors, 42
		Magnetoreceptors, 43
		Topographic Organization, 43
		Receptive Fields, 46
		The Senses and Evolution of the Central Nervous System, 46
1 Evolution and Variation	3	
Introduction, 3		
Diversity Over Time, 4		
Evolutionary Mechanisms, 5		
Genetic Factors, 5		
Natural Selection, 5		
Evolution of the Vertebrate Central Nervous System, 7		
Sameness and Its Biological Significance, 8		
Analogy, 8		
Historical Homology, 8		
Homoplasy, 9		
Biological Homology, 11		
Generative Homology or Syngeny, 12		
Analysis of Variation, 13		
Cladistic Analysis, 13		
Parsimony, 14		
Tests of Homology, 15		
A Word of Caution, 15		
Reconstructing Evolution, 16		
2 Neurons and Sensory Receptors	19	
Introduction, 19		
The Nervous System, 19		
Neurons and Sensory Receptors, 20		
Transport Within Neurons, 21		
Classification of Neurons, 21		
Somata, 21		
		3 The Vertebrate Central Nervous System 49
		Introduction, 49
		Development of the Brain, 49
		Segmental Development of the Vertebrate Brain, 50
		Neurogenesis and Migration of Neurons, 54
		Cortices and Nuclei, 55
		Differing Patterns of Development, 57
		Ontogeny and Recapitulation, 60

The Brain and Spinal Cord, 61		
Cellular Organization of the Central Nervous System, 61		
Regional Organization of the Nervous System, 63		
The Spinal Cord, 63		
The Brain, 63		
The Meninges and the Ventricular System, 66		
Major Systems of the Brain, 67		
Sensory Systems, 68		
Motor Systems, 68		
Nomenclature of the Brain, 69		
4 Vertebrate Phylogeny and Diversity in Brain Organization	73	
Introduction, 73		
Vertebrate Phylogeny, 74		
Chordate Relationships, 74		
Jawless Vertebrates, 74		
Chondrichthyes, 76		
Actinopterygii, 76		
Sarcopterygii, 76		
The Big Picture of Vertebrate Evolution, 84		
Two Types of Brain Organization, 84		
Laminar Brains (Group I), 86		
Elaborated Brains (Group II), 87		
Glia and Brain Elaboration, 89		
Laminar and Elaborated Brains across Evolution, 89		
5 Evolution and Adaptation of the Brain, Behavior, and Intelligence	93	
Phylogeny and Adaptation, 93		
Phyletic Studies, 93		
Adaptation Studies, 94		
The Phylogenetic Scale, 95		
The Phylogenetic Tree, 95		
Complexity and Evolution, 96		
Anagenesis, 97		
Grades of Evolutionary Advancement, 99		
Evolutionary Change, 99		
Brain Evolution and Behavioral Adaptation, 100		
Brain Size and Brain Allometry, 100		
Brain Size and Behavioral Adaptation, 105		
Brain Size and Intelligence, 106		
What Is Intelligence? 108		
Summary and Conclusions, 109		
6 Theories of Brain Evolution	113	
Introduction, 113		
Some Common Assumptions, 113		
Previous Theories of Vertebrate Brain Evolution: Addition of Structures or Areas, 114		
MacLean, 114		
Flechsig and Campbell, 114		
Sanides, 115		
Previous Theories of Vertebrate Brain Organization: New Formation and Reorganization of Circuits, 115		
Herrick, 115		
Bishop, 115		
Ariëns Kappers, 115		
Bowsher, 115		
Diamond and Hall, 116		
Critique of Previous Theories of Vertebrate Brain Evolution, 116		
Parcellation Theory, 117		
Ebbesson, 117		
Deacon, 117		
Current Theories of Forebrain Evolution, 117		
Forebrain Evolution: Experimental Foundations, 117		
Karten: Equivalent Cell Hypothesis, 118		
Other Theories of Pallial Evolution, 119		
Perspective, 121		
		Part Two
		THE SPINAL CORD AND HINDBRAIN
		<hr/>
7 Overview of Spinal Cord and Hindbrain	127	
Overview of the Spinal Cord, 127		
Segmentation Within the Spinal Cord, 127		
Roots and Ganglia, 128		
Columns of the Spinal Cord, 129		
Pathways Within the Spinal Cord, 130		
Reflexes, 131		
Spinal Autonomy, 133		
Rhythmic Movements and Central Pattern Generators, 133		
Overview of the Hindbrain, 133		
The Obex and the Fourth Ventricle, 135		
The Pontine Nuclei, 135		
Ganglia of the Cranial Nerves, 135		
Organization of the Cranial Nerves, 135		
Embryology of the Hindbrain and a New Classification of Cranial Nerves, 135		
Efferent Axons in Afferent Nerves, 136		
Evolutionary Perspectives on the Spinal Cord and Hindbrain, 136		
The Transition to Land, 136		
Tetrapod Locomotor Patterns, 137		

- 8 The Spinal Cord** 139
- The Spinal Cords of Nontetrapods, 139
 - Muscles and Locomotion, 139
 - Cell and Fiber Columns, 139
 - Giant Axons and Escape, 141
 - Electromotor Neurons, 144
 - The Curious Spinal Cords of Sharks, 144
 - Ascending and Descending Pathways, 144
 - Reissner's Fiber, 145
 - The Organization of the Tetrapod Spinal Cord, 145
 - Locomotor Patterns and Spinal Cord Organization, 145
 - The Curious Spinal Cords of Birds, 146
 - Segmental Organization, 147
 - Lamination, 147
 - Intrinsic Spinal Neurons, 148
 - Somatotopic Organization of the Ventral Horns, 148
 - Renshaw Cells, 149
 - Axon Columns and Cell Columns, 149
 - Marginal Cells, 150
 - Accessory Lobes, 150
 - Ascending Spinal Pathways, 150
 - Descending Spinal Pathways, 150
 - Tetrapod Central Pattern Generators, 152
 - Evolutionary Perspective, 152
- 9 Segmental Organization of the Head, Brain, and Cranial Nerves** 157
- "Twelve" Cranial Nerves, 157
 - The Vertebrate Head: Segmental Organization, 158
 - Head Skeleton, 159
 - The Striated Musculature of the Head, 159
 - Neural Crest and Placodes, 162
 - Segmentation of the Head, 164
 - Theoretical Head Segments, 165
 - Segmental Organization of the Individual Cranial Nerves, 166
 - The Forebrain, 168
 - The First Head Segment, 168
 - The Second Head Segment, 169
 - The Third Head Segment, 169
 - The Fourth Head Segment, 169
 - The Fifth Head Segment, 170
- 10 Functional Organization of the Cranial Nerves** 173
- Introduction, 173
 - The Cranial Nerves and the Spinal Cord, 173
 - The Organization of Sensory and Motor Columns of the Caudal Brainstem, 176
 - Afferent Columns of the Brainstem, 177
 - Efferent Columns of the Brainstem, 179
 - Five Cranial Nerves Rostral to the Brainstem, 180
 - General Considerations, 181
- 11 Sensory Cranial Nerves of the Brainstem** 183
- Introduction, 183
 - Dorsal Cranial Nerves: Sensory Components for General Somatosensory Sensation, 183
 - Somatosensory Innervation of the Head, 184
 - Central Terminations of the Trigeminal Nerve, 185
 - The Mesencephalic Division of the Trigeminal System, 185
 - Secondary Connections of the Trigeminal Nuclei, 186
 - Ventrolateral Placodal Cranial Nerves: Taste, 189
 - The Gustatory System, 190
 - The Gustatory Nerves and the Nucleus Solitarius, 190
 - Secondary Connections of the Gustatory Nucleus and Nucleus Solitarius, 190
 - Cyprinid and Silurid Gustatory Specializations, 192
 - Dorsolateral Cranial Nerves: Lateral Line and Octaval Systems, 194
 - The Lateral Line System, 195
 - The Octaval System, 196
- 12 Motor Cranial Nerves** 205
- Introduction, 205
 - Feeding and Swallowing, 207
 - The Neural Control of Feeding and Swallowing, 209
 - The Communication Systems of Fishes, 211
 - The Acoustic Reflex, 213
 - Motor Control of Eye Muscles, 214
 - The Extraocular Muscles in Jawless Vertebrates, 214
 - The Extraocular Muscles in Jawed Vertebrates, 214
 - The Intraocular Muscles, 215
 - Central Control of the Eye Muscles, 215
 - The Oculomotor Complex, 217
 - Coordination of Eye Muscle Action, 218
 - Evolutionary Perspective on the Hindbrain and Midbrain Cranial Nerves, 218
- 13 The Reticular Formation** 221
- Introduction, 221
 - The Organization of the Reticular Formation, 222
 - Neurons of the Reticular Formation, 222
 - Giant Reticulospinal Neurons, 223
 - Nomenclature of the Reticular Formation, 224
 - The Reticular Formation of the Medulla, Pons, and Midbrain, 225
 - The Reticular Formation of the Diencephalon, 228
 - Pathways of the Reticular Formation, 230
 - Chemical Pathways of the Reticular Formation, 232

The Reticular Formation and Sleep, 234		
Evolutionary Perspective on the Reticular Formation, 236		
14 The Cerebellum	241	
Introduction, 241		
Overview of the Cerebellum, 241		
Cerebellar Size, 242		
The Various Forms of the Cerebellum, 243		
Corpus Cerebelli, 243		
Electroreception and the Cerebellum, 244		
The Cerebellar Auricle, 245		
Phyletic Development of the Form of the Cerebellum, 245		
The Cerebella of Tetrapods, 246		
The Cerebella of Nontetrapods, 246		
Agnathans and Cartilaginous Fishes, 246		
Ray-Finned Fishes, 246		
The Cerebellar Cortex, 247		
The Purkinje Cell Layer, 247		
The Granule Cell Layer, 249		
The Molecular Layer, 253		
Afferent Inputs to the Cerebellar Cortex, 253		
Interconnections Within the Cerebellar Cortex, 255		
The Precerebellar Nuclei, 256		
Cerebelloid Structures Associated With the Cerebellum in Nontetrapods, 257		
The Exceptional Cerebella of Weakly Electric Fishes, 259		
Cerebellar Efferents and the Deep Cerebellar Nuclei, 260		
Evolutionary Perspective, 262		
Functions of the Cerebellum, 262		
		Locus Coeruleus, 277
		Group I, 277
		Group II, 277
		Evolutionary Perspective, 278
		Nucleus Isthmi, 281
		Group I, 281
		Group II, 281
		Evolutionary Perspective, 283
		Isthmo-Optic Nucleus, 283
		Group I, 283
		Group II, 283
		Evolutionary Perspective, 284
		Midbrain Locomotor Region and Pedunculopontine Tegmental Nucleus, 284
		Group I, 284
		Group II, 284
		Evolutionary Perspective, 285
		Interpeduncular Nucleus, 285
		Group I, 285
		Group II, 285
		Evolutionary Perspective, 285
		17 Tegmentum and Tori
		289
		Introduction, 289
		Mesencephalic Nucleus of the Trigeminal Nerve, 289
		Group I, 289
		Group II, 290
		Evolutionary Perspective, 290
		Red Nucleus and Related Nuclei, 290
		Group I, 290
		Group II, 290
		Evolutionary Perspective, 292
		Substantia Nigra and Ventral Tegmental Area, 292
		Group I, 293
		Group II, 294
		Evolutionary Perspective, 303
		Torus Lateralis, 304
		Group I, 304
		Group II, 304
		Evolutionary Perspective, 304
		Torus Semicircularis, 304
		Group I, 304
		Group II, 305
		Evolutionary Perspective, 306
		18 Optic Tectum
		311
		Introduction, 311
		Overview of Tectal Organization, 311
		Overview of Tectal Connections, 312
		The Optic Tectum in Group I Vertebrates, 315
Part Three		
THE MIDBRAIN		
15 Overview of the Midbrain	267	
Introduction, 267		
The Isthmus, 268		
The Tegmentum, 273		
The Tectum, 273		
16 Isthmus	275	
Introduction, 275		
Nuclei of the Raphe, 275		
Group I, 275		
Group II, 275		
Evolutionary Perspective, 277		

- Lampreys, 315
- Squalomorph Sharks and Ratfishes, 316
- Nonteleost Ray-Finned Fishes, 316
- Amphibians, 319
- The Optic Tectum in Group II Vertebrates, 321
 - Hagfishes, 321
 - Galeomorph Sharks, Skates, and Rays, 322
 - Teleosts, 322
 - Amniotes, 325
- Evolutionary Perspective, 334

Part Four

THE FOREBRAIN: DIENCEPHALON

- 19 Overview of the Forebrain** **343**
 - Introduction, 343
 - Nomenclature of the Forebrain in Amniotes, 344
 - The Diencephalon, 344
 - Pretectum, 344
 - Posterior Tuberculum, 344
 - Epithalamus, 345
 - Dorsal Thalamus, 346
 - Ventral Thalamus, 347
 - Hypothalamus and Preoptic Area, 352
 - The Telencephalon: Pallium, 352
 - The Telencephalic Pallium of Mammals, 353
 - The Telencephalic Pallium of Nonmammalian Amniotes, 361
 - The Telencephalon: Subpallium, 364
 - The Ventrolateral Telencephalon of Anamniotes, 364
 - The Ventrolateral Telencephalon of Mammals, 364
 - The Ventrolateral Telencephalon of Nonmammalian Vertebrates, 368
 - The Septum, 369
- 20 Pretectum, Accessory Optic System, and Migrated Posterior Tuberculum** **373**
 - Introduction, 373
 - Pretectum, 373
 - Group I, 374
 - Group II, 375
 - Accessory Optic System, 389
 - Group I, 391
 - Group II, 392
 - Evolutionary Perspective, 394
 - Migrated Posterior Tuberculum, 396
 - Group I, 396
 - Group II, 396
 - Evolutionary Perspective, 399
- 21 Epithalamus** **407**
 - Introduction, 407
 - Epiphysis, 407
 - Habenula, 409
 - Evolutionary Perspective, 414
- 22 Dorsal Thalamus** **417**
 - Introduction, 417
 - Collothalamic Auditory System, 418
 - Group I, 418
 - Group IIA, 418
 - Group IIB, 420
 - Collothalamic Visual and Somatosensory Systems, 426
 - Group I, 427
 - Group IIA, 430
 - Group IIB, 430
 - Lemnothalamus, 432
 - Group I, 432
 - Group IIA, 434
 - Group IIB, 434
 - Evolutionary Perspective, 437
 - Collothalamus, 437
 - Lemnothalamus, 437
 - A New Definition of the Dorsal Thalamus in Vertebrates, 439
- 23 The Visceral Brain: The Hypothalamus and the Autonomic Nervous System** **445**
 - Introduction, 445
 - The Hypothalamus, 445
 - The Hypothalamus and the Endocrine System, 446
 - Circumventricular Organs, 449
 - Biological Rhythms, the Epiphysis, and the Hypothalamus, 449
 - The Hypothalamus and the Limbic System, 450
 - The Preoptic Area, 450
 - The Hypothalamus in Anamniotes, 451
 - Jawless Fishes, 451
 - Cartilaginous Fishes, 451
 - Actinopterygians, 451
 - Sarcopterygians, 455
 - The Hypothalamus in Amniotes, 455
 - Connections of the Hypothalamus in Reptiles and Birds, 456
 - Connections of the Hypothalamus in Mammals, 457
 - Functions of the Hypothalamus, 460
 - The Autonomic Nervous System, 460
 - Autonomic Neurochemistry, 462
 - Amniotes, 462
 - Anamniotes, 462
 - Evolutionary Perspective, 462

Part Five**THE FOREBRAIN: TELENCEPHALON**

- 24 Basal Telencephalon 471**
 Introduction, 471
 The Striatopallidal Complexes, 472
 Group I, 472
 Group IIA, 476
 Group IIB, 477
 The Striatal Amygdala, 487
 Cholinergic Neuronal Populations of the Basal Telencephalon, 488
 Evolutionary Perspective, 489
- 25 Nonlimbic Pallium 495**
 Introduction, 495
 The Nonlimbic Pallium in Group I Vertebrates, 496
 The Nonlimbic Pallium in Group IIA Vertebrates, 498
 Neuroanatomical Organization, 498
 Behavioral Issues, 501
 The Nonlimbic Pallium in Amniotes, 501
 Mammals: Neocortex, 501
 Mammals: Claustrum-Endopiriform Formation and Frontotemporal Amygdala, 504
 Reptiles and Birds, 504
 Ascending Sensory Pathways to the Pallium in Amniotes, 507
 Pallial Evolution and Persistent Questions of Homologies, 510
- 26 Visual Forebrain in Amniotes 523**
 Introduction, 523
 Ipsilateral Retinal Pathways and Stereoscopic Vision, 524
 Visual Pathways to the Telencephalon in Mammals, 524
 Lemnothalamic Visual Forebrain, 524
 Collothalamic Visual Forebrain, 536
 Pathways to the Visual Telencephalon in Reptiles and Birds, 537
 Lemnothalamic Visual Pathways, 538
 Collothalamic Visual Pathways, 540
 Evolutionary Trends in the Visual System of Amniotes, 540
- 27 Somatosensory and Motor Forebrain in Amniotes 547**
 Introduction, 547
 The Somatosensory and Motor Forebrain of Mammals, 547
 The Ventral Tier Nuclei of the Dorsal Thalamus, 548
 Somatosensory Lemnothalamus, 548
 Somatosensory Collothalamus, 548
 Motor Lemnothalamus, 548
 Afferents to Somatosensory Cortex, 549
 Efferents of Somatosensory Cortex, 549
 Pain Pathways, 549
 Somatotopic Organization, 552
 Motor Cortex, 557
 Multiple Motor Representations of the Body, 558
 The Cortical Eye Fields, 558
 Afferents and Efferents of the Motor Cortex, 558
 The Somatosensory and Motor Forebrain of Nonmammalian Amniotes, 559
 Somatosensory System, 559
 Motor System, 564
 Evolutionary Perspective, 566
- 28 Auditory and Vocal Forebrain in Amniotes 571**
 Introduction, 571
 Location of Sound Sources, 571
 Echolocation, 572
 Auditory Channels for Time and Intensity, 573
 Design Features of the Auditory System, 574
 Topographic Organization, 574
 Bilateral Interaction in the Auditory Pathway, 574
 Descending Auditory Pathways, 574
 Auditory Pathways in Tetrapods, 574
 Auditory Telencephalon, 577
 Columnar Organization, 577
 Mammals, 577
 Reptiles and Birds, 579
 Vocal Telencephalon, 580
 Vocalization and Hearing, 581
 Anurans, 582
 Reptiles and Birds, 583
 Mammals, 587
 Evolutionary Perspective, 589
- 29 Terminal Nerve and Olfactory Forebrain 593**
 Introduction, 593
 Olfactory System, 593
 Group I, 594
 Group II, 595
 Vomeronasal System, 601
 Terminal Nerve, 605
 Evolutionary Perspective, 606
- 30 Limbic Telencephalon 611**
 Introduction, 611
 The Limbic Pallium in Anamniotes, 612

Group I, 612	
Group IIA, 613	
The Limbic Pallium in Amniotes (Group IIB), 617	
Limbic Pallium of Mammals, 619	
Limbic Pallium in Nonmammalian Amniotes, 623	
Limbic Subpallium: Septum, 628	
Evolutionary Perspective, 629	

Part Six**CONCLUSION**

31 Evolution of Brains: A Bilaterian View	637
Introduction, 637	
Invertebrate Brains and the Inversion Hypothesis, 638	
Insect Brain Organization, 639	
Urbilateria and the Ancestral Condition of Bilaterian Brains, 641	
Deuterostomes and Dorsoventral Inversion, 641	

Brain Evolution within Chordates, 644	
The Origin of Vertebrates, 649	
Haikouella, 650	
Sensory System Evolution in the Vertebrate Lineage, 652	
Organization of the Vertebrate Brain, 653	
The Advent of Jaws, 655	
Onto the Land and Into the Air, 656	
Theories of Vertebrate Brain Evolution, 657	
How Vertebrate Brains Evolve, 657	

Appendix: Terms Used in Neuroanatomy	665
Introduction, 665	
Direction and Location Terms, 665	
Planes of Section, 666	
Neuroanatomical Names, 668	
Derivation of Terms, 668	
Glossary	671
Index	679